

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hideo ANDO, et al.

SERIAL NO: New Divisional Application

GAU: Unassigned

FILED: Herewith

EXAMINER: Unassigned

FOR: INFORMATION STORAGE MEDIUM AND INFORMATION RECORDING/PLAYBACK SYSTEM

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the references were filed or are of record in prior Application Serial No. 09/939,582, (filed August 28, 2001), as were either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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|--|-----|---|----------|-----------------------------------|--|--|-------------------------------|
| Form PTO 1449<br>(Modified)  |     | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE  |          | ATTY DOCKET NO.<br>249751US2S DIV |  | SERIAL NO.<br>New Divisional Application |                               |
| LIST OF REFERENCES CITED BY APPLICANT  |     |   |          | APPLICANT<br>Hideo ANDO, et al.   |  |  |                               |
|  |     |   |          | FILING DATE<br>Herewith           |  | GROUP<br>Unassigned                      |                               |
| <b>U.S. PATENT DOCUMENTS</b>   |     |   |          |                                   |  |  |                               |
| EXAMINER<br>INITIAL  |     | DOCUMENT<br>NUMBER  | DATE     | NAME                              | CLASS                                  | SUB<br>CLASS                             | FILING DATE<br>IF APPROPRIATE |
|  | AA  | 6,212,330-A   | 04/2001  | YAMAMOTO et al.                   |  |  |                               |
|  | AB  | 5,991,502   | 11/1999  | KAWAKAMI et al.                   |  |  |                               |
|  | AC  | 6,181,870   | 01/2001  | OKADA et al.                      |  |  |                               |
|  | AD  | 6,253,026   | 06/2001  | SAEKI et al.                      |  |  |                               |
|  | AE  | 6,263,155   | 07/2001  | SAEKI et al.                      |  |  |                               |
|  | AF  | 6,347,187   | 02/2002  | SAEKI et al.                      |  |  |                               |
|  | AG  | 6,167,189-A   | 12/2000  | TAIRA et al.                      |  |  |                               |
| <b>FOREIGN PATENT DOCUMENTS</b>  |     |   |          |                                   |  |  |                               |
|  |     | DOCUMENT<br>NUMBER  | DATE     | COUNTRY                           | TRANSLATION<br>YES                  NO |  |                               |
|  | AH  | JP 11-16824   | 01/22/99 | JAPAN                             |  |  | X                             |
|  | AI  | JP 2000-13728   | 01/14/00 | JAPAN                             |  |  | X                             |
|  | AJ  | JP 5-74053  | 03/26/93 | JAPAN                             |  |  | X                             |
|  | AK  | 7-211048  | 08/11/95 | JAPAN                             |  |  | X                             |
|  | AL  | JP 8-263969   | 10/11/96 | JAPAN                             |  |  | X                             |
|  | AM  | JP 10-289533  | 10/27/98 | JAPAN                             |  |  | X                             |
|  | AN  | JP 5-346879   | 12/27/93 | JAPAN                             |  |  | X                             |
|  | AO  | JP 9-91878  | 04/04/97 | JAPAN                             |  |  | X                             |
|  | AP  | JP 9-23404  | 01/21/97 | JAPAN                             |  |  | X                             |
|  | AQ  | JP 9-259572   | 10/03/97 | JAPAN                             |  |  | X                             |
|  | AR  | JP 5-81787  | 04/02/93 | JAPAN                             |  | X  |                               |
|  | AS  | JP 11-16286   | 01/22/99 | JAPAN                             |  | X  |                               |
|  | AT  | JP 7-153238   | 06/16/95 | JAPAN                             |  |  | X                             |
|  | AU  | JP 9-265733   | 10/07/97 | JAPAN                             |  |  | X                             |
|  | AV  | JP 11-215466  | 08/06/99 | JAPAN                             |  |  | X                             |
|  | AW  | JP 11-232837  | 08/27/99 | JAPAN                             |  |  | X                             |
|  | AX  | JP 11-39800   | 02/12/99 | JAPAN                             |  |  | X                             |
|  | AY  | JP 8-339637   | 12/24/96 | JAPAN                             |  |  | X                             |
|  | AZ  | WO 98/14938   | 04/09/98 | WIPO (with English Abstract)      |  |  |                               |
|  | AAA | WO 97/07504   | 02/27/97 | WIPO (with English Abstract)      |  |  | X                             |
|  | AAB | WO 97/13365   | 04/10/97 | WIPO (with English Abstract)      |  |  | X                             |
|  | AAC | WO 95/16262   | 06/15/95 | WIPO (with English Abstract)      |  |  | X                             |
|  | AAD | WO 95/12197   | 05/04/95 | WIPO (with English Abstract)      |  |  | X                             |
| <b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>   |     |   |          |                                   |  |  |                               |
|  | AAE | Explanation of Circumstances concerning Accelerated Examination for Japanese Patent Application No. 2001-282021 (with English translation)                                    |          |                                   |  |  |                               |
|  | AAF | Explanation of Circumstances concerning Accelerated Examination for Japanese Patent Application No. 2001-260105 (with English translation)                                    |          |                                   |  |  |                               |
|  | AAG | Japanese Office Action (Notification of Reasons for Rejection) re: Patent Application JP 9-358546, mailing date: July 30, 2002, mailing no. 250729 (with English translation) |          |                                   |  |  |                               |
| Examiner   |     |   |          |                                   | Date Considered                        |  |                               |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |     |   |          |                                   |  |  |                               |